

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants : Phillip George Ellis

Serial No. : 09/786,739 Examiner : D.C. Crane

Filed : October 15, 2001 Group Art Unit : 3725

For : BUILDING FRAME AND METHOD OF CONSTRUCTION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the
United States Postal Service as first class mail in an
envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231

February 21, 2003

Date of Deposit

Paul A. Ragusa

Attorney Name

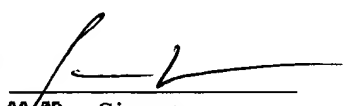
38,587

PTO Registration No.

02/28/2003 HVUONG1 00000084 09786739

01 FC:1806

180.00/OP


Signature

February 21, 2003

Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed herewith are patents and/or publications for consideration by the
Patent and Trademark Office in regard to the invention claimed in the above-described
application. In compliance with §1.56, such documents are listed in the enclosed
Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record
the above-identified documents. Unless otherwise indicated, a full text copy of each
document is attached. For documents not in English, an English translation or an
equivalent English language patent or publication is attached. Where a translation is not

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available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

Identification of the documents listed in the attached PTO 1449 Form is not to be construed as an admission of Applicants or Attorneys for Applicants that such documents are available as "prior art" against the above-identified application.

This Information Disclosure Statement is submitted according to the following selected paragraph:

- I. ☐ This Statement is being filed under §1.97(b) within three months of the filing date of the application, or before the first Office Action.
- II. ☒ This Statement is being filed under §1.97(c), with fee, prior to either a final action or a notice of allowance. A check for the fee required by §1.17(p) and a duplicate copy of this Statement is enclosed.
- III. ☐ This Statement is being filed under §1.97(c), with a statement under, §1.97(e) prior to either a final action or a notice of allowance. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement under §1.97(e) after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.
- IV. ☐ This Statement is being filed under §1.97(d), with petition and statement under §1.97(e), after a final action or a notice of allowance but prior to payment of the issue fee. The undersigned hereby petitions that this Statement be considered prior to issuance of the patent. A check for the fee required by §1.17(i) and a duplicate copy of this statement is enclosed. The undersigned hereby states that (check one):

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☐ each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of the communication is enclosed.

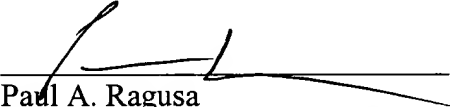
☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement under §1.97(e) after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

V. ☐ Copies of the above-cited art were submitted to or cited by the Patent and Trademark Office under parent application Serial No. ____/____ and therefore additional copies of this art are unnecessary.

The Commissioner is hereby authorized to charge payment of any additional fee to Deposit Account No. 02-4377.

Respectfully submitted,

Dated: February 21, 2003


Paul A. Ragusa
Patent Office Reg. No. 38,587

BAKER BOTTS L.L.P.
30 Rockefeller Plaza
New York, New York 10112-4498

Attorney for Applicant
(212) 408-2588

Enclosures

BAKER BOTTS LLP



Please type a plus sign (+) inside this box → ☒

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\$3125
#8
prior
art
E. Ragusa
3/5/03

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/786,739
Filing Date	October 15, 2001
First Named Inventor	Philip George Ellis
Group Art Unit	3725
Examiner Name	D.C. Crane
Attorney Docket Number	A34093 - 072142.0117

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks <input type="checkbox"/>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	 Att Name: Paul A. Ragusa PTO Reg: 38,587
Date	February 21, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 21, 2003

Typed or printed name	Paul A. Ragusa		
Signature		Date	February 21, 2003

BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180)

Complete if Known

Application Number 09/786,739
Filing Date October 15, 2001
First Named Inventor Philip George Ellis
Examiner Name D.C. Crane
Art Unit 3725
Attorney Docket No. A34093 - 072142.0117

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 20 = 0	X	0
Multiple Dependent	- 3 = 0	X	0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

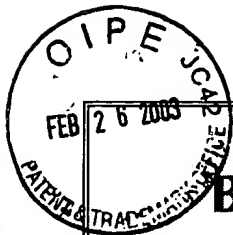
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180)

SUBMITTED BY

Name (Print/Type) Paul A. Ragusa Registration No. 38,587 Telephone 212 408-2588
Signature Date February 21, 2003

(Complete if applicable)



BAKER BOTTS LLP

Attorney Docket Number: A34093 - 072142.0117

Title: BUILDING FRAME AND METHOD OF CONSTRUCTION

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Use Space Below for Additional Information: